|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  | | | | | | |
| **Regulatory Reviews Data Collection Template**  **Organization Information** | | | | | | |
|  | The purpose of this section is to collect information regarding your organization as a whole in its role as a regulator for regulations that are business facing. This includes soliciting comments on the opportunities and challenges facing regulators in their modernization efforts to support business innovation and growth, given that depending on how they are designed and implemented, regulations can impede or enable innovation. Specifically, this information will help provide insights at the organization level.  This section also collects information regarding the estimated amount of resources in your organization dedicated to carrying out regulatory functions. This information will help provide a scale of magnitude of the level of effort used to administer federal regulations. | | | | | |
|  |  | | Organization Legal name: | | | |
|  |  | |  | Choose an organization. | | |
|  |  | | Some organizations have developed statements of regulatory best practices, that is, a set of principles to guide regulatory development. Does your organization have a statement of regulatory best practice? | | | |
|  |  | |  | There is no statement of regulatory best practice at the moment | | |
|  |  | |  | There is a statement of regulatory best practice | | |
|  |  | |  | There are more than one statements of regulatory best practices | | |
|  |  | | Link to statement(s) of regulatory best practice, if applicable: | | | |
|  |  | |  | Click here to enter link. | | |
|  |  | | What are the opportunities you see for regulatory modernization in your organization to support business innovation and growth? Specifically, what approaches to regulatory design and/or regulatory management may be helpful to you as an organization to implement which would support business innovation and growth? | | | |
|  |  | |  | Click here to enter text. | | |
|  |  | | What are the main challenges you face in your efforts for regulatory modernization in your organization to support business innovation and growth? Specifically, what are the challenges in implementing different approaches to regulatory design and/or regulatory management and what is required in order to address these challenges? | | | |
|  |  | |  | Click here to enter text. | | |
|  |  | |  | | | |
|  |  | | Please provide the average of the actual expenditures of your organization for the last five fiscal years (2011/2012-2016/2017) as reported in Public Accounts and an estimate of the average in percentage (%) of these expenditures used by your organization to carry out regulatory functions for the last five fiscal years 2011/12-2016-2017. Note that an estimate akin to a Class ‘D’ or indicative estimate can be used.  In addition, provide a breakdown of the estimate in percentage (%) per regulatory function as outlined below. All the regulatory functions below should sum to 100%. | | | |
|  |  | | |  |  | | --- | --- | | Total Expenditures | Average 2011/12-2016/17 ($) | | Total Voted and Statutory Authorities expended. | Click here to enter total authorities expended. | | Estimated percentage of expenditure spent on all regulatory functions | Average 2011/12-2016/17 (%) | | % | Click here to enter percentage. | | Estimated percentage of total expenditure spent by regulatory function | Average 2011/12-2016/17 (%) | | Regulatory Policy and Development | Click here to enter percentage. | | Risk Assessment | Click here to enter percentage. | | Risk Management Planning | Click here to enter percentage. | | Permissions | Click here to enter percentage. | | Compliance Promotion | Click here to enter percentage. | | Compliance Verification | Click here to enter percentage. | | Enforcement | Click here to enter percentage. | | Appeals | Click here to enter percentage. | | Other | Click here to enter percentage. | | | | |
|  | | | | | |
| Statement of Completeness – Responsible Executive  The Statement of Completeness should be signed by the responsible executive at the Assistant Deputy Minister level. By signing the Statement of Completeness, he/she is ensuring that the organization is submitting one completed copy of the Organization Information and one completed copy of the Regulation Information for each regulation or regulation set that is business facing. | | | | | | |
|  | | I attest that I have reviewed this submission to the Treasury Board of Canada Secretariat, and confirm that the information provided has been completed using the information available to my organization at this time. | | |
| Name: | | Click here to enter full name. | | |
| Title: | | Click here to enter title. | | |
| Date: | | Click here to enter a date. | | |
|  | | | | | |
| |  |  |  | | --- | --- | --- | | Name of Working Level Contact | Title | Email | | Name of a working level contact | Contact title | Contact email | | | | | | |
|  | | | | | |
|  | | | | | |